



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 6552**  
Kenji KITAMURA et al. : Docket No. 2003\_1095A  
Serial No. 10/634,858 : Group Art Unit 1755  
Filed August 6, 2003 : Examiner  
MONOAZOLAKE PIGMENT :  
COMPOSITION AND GRAVURE INK :  
USING THE SAME :

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.


4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☐ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kenji KITAMURA et al.

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE AND DEPOSIT IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

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January 20, 2004

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ACCOUNT NO. 23-0975

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: January 20, 2004

ATTY DOCKET NO.  
2003\_1095ASERIAL NO.  
10/634,858APPLICANT  
Kenji KITAMURA et al.FILING DATE  
August 6, 2003GROUP  
1755

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	441,493	01/1936	GB			
	AK						
	AL						
	AM						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AN	Database WPI, Section Ch, Week 199602, Derwent Publications Ltd., London, GB; Class A97, AN, 1996-017428, XP002261339, "Coating Treated Pigments and Gravure Printing Ink Compositions", Abstract of JP 07-292274A, November 7, 1995.
AO	Database WPI, Section Ch, Week 199406, Derwent Publications Ltd., London, GB; Class A60, AN 1994-045515, XP002261340, "Aqueous Pigment Compositions and Dispersions With No Gelling for Gravure Printing Ink", Abstract of JP 06-001851A, January 11, 1994.
AP	Database WPI, Section Ch, Week 199817, Derwent Publications Ltd., London, GB; Class A21, AN, 1998-189525, XP002261341, "Azo Lake Pigment Composition", Abstract of JP 10-046086A, February 17, 1998.
AQ	Database WPI, Section Ch, Week 199026, Derwent Publications Ltd., London, GB; Class A60, AN, 1990-198250, XP002261342, "Surface Treated Monoazo Lake Pigment", Abstract of JP 02-132161A, May 21, 1980.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.